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The Commissioner for Patents United States Patent and Trademark Office P. O. Box 1450 Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application

Appl. No. 10/776,475; Filed 2/10/2004

For: Optical Waveguide Grating Coupler

Inventor(s): Gunn III, et al. Docket No.: <u>LUX-P019</u>

Sir:

The following documents are forwarded herewith for action by the U.S. Patent and Trademark Office:

- 1. Information Disclosure Statement;
- 2. Form PTO/SB/08A with _7_U.S Patent Document(s)
- 3. Form PTO/SB/08B with ___reference(s); and
- 4. A return post card.

It is respectfully requested that the attached postcard be <u>stamped with the receipt date</u> of the above documents and returned to the addressee as soon as possible.

2/28/05

DENNIS S. FERNANDE Reg. No. 34,160

Respectfully submitted,

CUSTOMER NO: 22877

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/776,475			
Filing Date	2/10/2004			
First Named Inventor	Gunn et al.			
Art Unit	2817			
Examiner Name	(not yet assigned)			
Attorney Docket Number	I I IX-P019			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 6,285,813	09-04-2001	Schultz et al.	
	2	^{US-} 5,101,459	03-31-1992	Sunagawa	
	3	^{US-} 5,033,812	07-23-1991	Yoshida et al.	
	4	^{US-} 5,436,991	07-25-1995	Sunagawa et al.	
	5	^{US-} 5,200,939	04-06-1993	Nishiwaki et al.	
-	6	^{US-} 5,132,843	07-21-1992	Aoyama et al.	
	7	^{US-} 5,742,433	04-21-1998	Shiono et al.	
		US-			·
		US-			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			T ⁶	
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/776,475	
INF	ORMATION	DIS	CLOSURE	Filing Date	2/10/2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Gunn et al.	
	(lise as many she	ate ae n	ecessarul	Art Unit	2817	
(Use as many sheets as necessary)				Examiner Name	(not yet assigned)	
Sheet	2	of	2	Attorney Docket Number	LUX-P019	

<u>.</u> .		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	·		
Examiner		Date	

Considered

Signature

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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